E1978318140US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Focazio et al.	§
	§ Group Art Unit: Not Assigned
Serial No.: Not Assigned	§
	§ Examiner: Not Assigned
Filed:	§
	§ Attorney Docket No.: AUS920040040US1
For: Method and Apparatus for Splitting	§
and Merging Request and Response Data	§
at Runtime	§

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 3/11/

Respectfully submitted,

David A. Mims, Jr.

Registration No. 32,708

**IBM** Corporation

Intellectual Property Law

11400 Burnet Road

Austin, Texas 78757

(512) 823-0950

Form PTO-1449  LIST OF PRIOR ART CITED  BY APPLICANT  (Use several sheets if necessary)				ATTORNEY DOCKET NO. AUS920040040US1	SERIAL NO. Not Assigned	SERIAL NO. Not Assigned	
				APPLICANT Focazio et al.			
				FILING DATE	GROUP ART UNI	GROUP ART UNIT Not Assigned	
	21			L S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA	6,356,907 B1	Mar. 12, 2002	Hopmann	707/10	Jul. 26, 1999	
	AB	6,449,620 B1	Sep. 10, 2002	Draper et al.	707/102	Mar. 2, 2000	
	AC	6,6,11,843 B1	Aug. 26, 2003	Jacobs	707/102	Aug. 27, 2001	
	AD	6,418,448 B1	Jul. 9, 2002	Sarkar	707/104.1	Dec. 6, 1999	
	AE	6,356,906 B1	Mar. 12, 2002	Lippert et al.	707/10	Jul. 26, 1999	
	/\\`.		FOR	EIGN PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
		OTHE	R PRIOR ART (	including author, title, date, pertinen	t påge, etc.)		
			RELA	TED PATENT APPLICATIONS			
EXAMINER INITIAL		APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE		FILING DATE	
	AF	Not Assigned AUS920030927US1	Focazio et al.	Method and Apparatus for Validating and Configuring Database Transaction Requests from Multiple Clients		Jan. 14, 2004	
	AG	Not Assigned AUS920030928US1	Focazio et al.	Method and Apparatus for Generating Query and Response Statements at Runtime from Generic Requests			
DATE CONS	IDEB		<u> </u>	EXAMINER			
		<del></del>				verling through	
				ner or not citation is in conformance was include copy of this form with next of			